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TO:

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FROM: Sharon Farnus PHONE: 714-427-7054

MESSAGE:

RE: **10/527 012**  
Attorney Docket: 42844-0500

Per our conversation, please see attached transmittal indicating the request for examination.

Should you have any further questions please let me know.

Thank you.

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ORIGINAL DOCUMENT:	Will not be sent	NUMBER OF PAGES (Including Cover):	03
CONFIRMATION NO.:		CLIENT MATTER NO.:	99999.0000
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REQUESTOR:	Sharon Farnus	DIRECT LINE:	714-427-7054

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FORM PTO-1290 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 12-2004)

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

INTERNET NUMBER

42844-0500

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/527012**

INTERNATIONAL APPLICATION NO.  
PCT/JP04/000277

INTERNATIONAL FILING DATE  
16.01.2004

PRIORITY DATE CLAIMED

**TITLE OF INVENTION**

**GENERATING SET UTILIZING RIVER FLOWING WATER OR SEA FLOWING WATER**

**APPLICANT(S) FOR DO/EO/US**

Akio Takeuchi

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 38 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA400).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV456681892US
23. ☒ Other items or information;

Copy of PCT Request; Copies of Forms PCT/RO/105, PCT/ISA/202, PCT/IB/301, PCT/ISA/217

10/527012

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
Approved for use through 3/31/2007. OMB 0851-0021  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. <b>PCT/JP04/000277</b>		ATTORNEY'S DOCKET NUMBER <b>42844-0500</b>	
24. The following fees are submitted:				Applicant use	Office use only
<input checked="" type="checkbox"/> a) Basic national fee .....		\$300.00		\$	\$300.00
<input checked="" type="checkbox"/> b) Examination fee .....		\$200.00		\$	\$200.00
<input checked="" type="checkbox"/> c) Search fee .....		\$500.00		\$	\$500.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$1000.00</b>		\$	<b>\$1,000.00</b>
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing of computer program listing filed in an electronic medium). The fee is \$200 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE	
35 - 100 =	0 /50 =	0		x	\$ \$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	16 - 20 =	0	x \$18.00	\$	\$0.00
Independent claims	1 - 3 =	0	x \$88.00	\$	\$0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> +				\$	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	<b>\$1,000.00</b>
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	<b>\$500.00</b>
<b>SUBTOTAL =</b>				\$	<b>\$500.00</b>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	\$0.00
<b>TOTAL NATIONAL FEE =</b>				\$	<b>\$500.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.26, 3.31). \$40 per property +				\$	\$0.00
<b>TOTAL FEES ENCLOSED =</b>				\$	<b>\$500.00</b>
Amount to be refunded:				\$	
Amount to be charged:				\$	
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>19-2814</u> in the amount of <u>\$500.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-2814</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Joseph W. Price SNELL & WILMER LLP 1920 Main Street, Suite 1200 Irvine, CA 92614 Tel: 949-253-4920			<p><u>Ketan S. Vakil</u> SIGNATURE Ketan S. Vakil NAME 43,215 REGISTRATION NUMBER</p>		

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